

# Reading 14

## CW complexes

CW complexes are, roughly speaking, spaces built out of disks, inductively by dimension. So to communicate a CW complex to somebody, we must tell them the way in which we build the CW complex using disks.

Given a topological space  $X$ , it is a yes/no question whether  $X$  can be built in this fashion. So we sometimes say  $X$  is a CW complex if  $X$  admits such a presentation.

We also sometimes say  $X$  is a CW complex when we have a particular presentation in mind.

### 14.1 Disks and spheres

**Notation 14.1.1.** Recall that the  $n$ -dimensional disk  $D^n$  is the set of all points in  $\mathbb{R}^n$  having distance  $\leq 1$  from the origin:

$$D^n := \{(x_1, \dots, x_n) \mid \sum_{i=1}^n x_i^2 \leq 1.\}$$

The boundary of  $D^n$  is the sphere of dimension  $n - 1$ :

$$S^{n-1} := \{(x_1, \dots, x_n) \mid \sum_{i=1}^n x_i^2 = 1.\}$$

To emphasize that the sphere is the boundary of  $D^n$ , we will also introduce the notation

$$\partial D^n := S^{n-1}.$$

$\partial D^n$  is equal to  $S^{n-1}$ .

**Remark 14.1.2.**  $\partial$  is a common notation that means “boundary.” The symbol sometimes also means “partial” (in the context of partial derivatives) but we do not mean “partial” here.

## 14.2 Making spaces from cells

In this course, most of our spaces have been embedded somewhere. (For example,  $S^n$  is embedded in  $\mathbb{R}^{n+1}$ .) So the subspace topology has been a central player.

But, as we will see, many important spaces are presented or built without reference to any embedding.

**Remark 14.2.1.** It was likely an intellectual leap, in the development of mathematics, to think of spaces that do not come with a preferred embedding (i.e., that are not defined as subsets of a space we already know). It is a powerful leap.

We are but humans, so we would also like to be able to understand such spaces “piece by piece.” A CW structure on a space is a way to think of the space piece by piece – cell by cell.

So here is a way to build a space using “cells.”

### 14.2.0 Spaces made of 0-dimensional cells.

Fix a set  $\mathcal{A}_0$ . We let  $X^0$  be a disjoint collection of points, with a point for every  $\alpha \in \mathcal{A}_0$ . Thinking of a point as  $D^0$ , we can (and do) write

$$X^0 := \coprod_{\alpha \in \mathcal{A}} D^0.$$

We call  $\mathcal{A}_0$  the set of zero-dimensional cells, or (for short) the set of *zero-cells* of  $X^0$ . Each  $D^0$  is called a zero-dimensional cell, or zero-cell, of  $X^0$ .

We say that  $X^0$  is a *zero-dimensional CW complex*, or a CW complex of dimension zero.

**Notation 14.2.2.** Sometimes, we will write  $D_\alpha^0$  (where  $\alpha \in \mathcal{A}_0$ ) to indicate which 0-cell (i.e., which point) we are referring to in  $X^0$ .

**Example 14.2.3.** If  $\mathcal{A}_0$  is empty,  $X^0$  is the empty space.

If  $\mathcal{A}_0$  consists of a single element,  $X^0$  is homeomorphic to  $pt$ .

If  $\mathcal{A}_0$  has two points,  $X^0$  is homeomorphic to  $pt \amalg pt$ .

$\mathcal{A}_0$  may be infinite, in which case  $X^0$  is homeomorphic to a discrete space in bijection with  $\mathcal{A}_0$ .

**Remark 14.2.4.** Note that  $X^0$  is always discrete. (That is,  $X^0$  always has the discrete topology.) So a 0-dimensional CW complex is always Hausdorff.

### 14.2.1 1-dimensional CW complexes

Suppose we are given a space  $X^0$  as in the previous section.

Fix a set  $\mathcal{A}_1$ . For every  $\alpha \in \mathcal{A}_1$ , fix the data of a continuous map  $\varphi_\alpha$  from  $\partial D^1 \rightarrow X^0$ . This data is enough to construct a space  $X^1$ , obtained from

$$X^0 \amalg \left( \coprod_{\alpha \in \mathcal{A}_1} D^1 \right)$$

by identifying the boundary points of  $D^1_\alpha$  with points of  $X^0$  using the map  $\varphi_\alpha$ . Concretely, we define

$$X^1 := \left( X^0 \amalg \left( \coprod_{\alpha \in \mathcal{A}_1} D^1 \right) \right) / \sim \quad (14.2.1.1)$$

where  $\sim$  is the equivalence relation generated by

$$\forall \alpha \in \mathcal{A}_1, \quad y \in \partial D^1_\alpha \sim \varphi_\alpha(y).$$

**Remark 14.2.5.** Already in the notation, you can see that I write  $D^1_\alpha$  to think of the copy of  $D^1$  corresponding to the element  $\alpha \in \mathcal{A}_1$ .

**Definition 14.2.6.** We call each  $D^1_\alpha$  a *one-cell* of  $X^1$ . We call each  $\varphi_\alpha$  an *attaching map*.

**Remark 14.2.7.** Each attaching map  $\varphi_\alpha$  tells us how to glue the endpoints of  $D^1_\alpha$  to  $X^0$ .

If you like,  $X^0$  is a collection of marshmallows.  $\mathcal{A}_1$  indexes a collection of toothpicks. And each  $\varphi_\alpha$  tells us how to glue the ends of the “ $\alpha$ th” toothpick in to my marshmallows.

**Example 14.2.8.** Suppose  $\mathcal{A}_0$  and  $\mathcal{A}_1$  are both empty. Then  $X^1$  is the empty set.

Suppose  $\mathcal{A}_1$  is empty. Then  $X^1$  is homeomorphic to a disjoint union of points, with one point for every element of  $\mathcal{A}_0$ .

Suppose  $\mathcal{A}_0$  has exactly one element. Then for every  $\alpha \in \mathcal{A}^1$ , the map  $\varphi_\alpha : D_\alpha^1 \rightarrow X^0$  is uniquely determined, because  $X^0$  is a space with only one element. Then  $X^1$  is homeomorphic to a bouquet of circles, with one circle for every  $\alpha \in \mathcal{A}^1$ .

In particular, if both  $\mathcal{A}_0$  and  $\mathcal{A}_1$  are sets with exactly one element, then  $X^1$  is homeomorphic to  $S^1$ .

Suppose  $\mathcal{A}_0$  has exactly two elements, and  $\mathcal{A}_1$  has exactly one element. Then, up to homeomorphism, there are exactly two possibilities for  $X^1$ .  $X^1$  is a space homeomorphic to  $D^0 \amalg S^1$ , or to  $D^1$ . The homeomorphism type of  $X^1$  depends on the choice of  $\varphi_\alpha$ .

**Definition 14.2.9.** A space  $X^1$  constructed as in (14.2.1.1) is called a *one-dimensional CW complex*.

The following is, for some people, their preferred definition of “graph” in the sense of graph theory:

**Definition 14.2.10** (For some people.). A *graph* is a one-dimensional CW complex.

**Remark 14.2.11.** Note that  $X^1$  is determined completely by the data of two sets  $\mathcal{A}_0, \mathcal{A}_1$  and two functions  $\mathcal{A}_1 \rightarrow \mathcal{A}_0$  (one function tells where to glue the points  $-1 \in \partial D_\alpha^1$ , while the other function tells us where to glue the points  $1 \in \partial D_\alpha^1$ ). Thus, some people also define a graph to be the data of two sets, together with two functions from one set to the other.

## 14.2.2 2-dimensional CW complexes

Now we will consider spaces made of cells of at most dimension 2.

Suppose we are given a 1-dimensional CW complex  $X^1$ .

Fix a set  $\mathcal{A}_2$ . For every  $\alpha \in \mathcal{A}_2$ , fix the data of a continuous map  $\varphi_\alpha$  from  $\partial D^2 \rightarrow X^1$ . This data is enough to construct a space  $X^2$ , obtained from

$$X^1 \quad \amalg \quad \left( \amalg_{\alpha \in \mathcal{A}_2} D^2 \right)$$

by identifying the boundary points of  $D_\alpha^2$  with the points of  $X^1$  using the map  $\varphi_\alpha$ . Concretely, we define

$$X^2 := \left( X^1 \amalg \left( \coprod_{\alpha \in \mathcal{A}_1} D^2 \right) \right) / \sim$$

where  $\sim$  is the equivalence relation generated by

$$\forall \alpha \in \mathcal{A}_2, \quad y \in \partial D_\alpha^2 \sim \varphi_\alpha(y).$$

We call each  $D_\alpha^2$  a *two-cell* of  $X^2$ . As before, we call each  $\varphi_\alpha$  an *attaching map*. It tells us where to glue the boundary points of  $D_\alpha^2$ .

**Example 14.2.12.** Suppose  $\mathcal{A}_2$  is empty. Then  $X^2$  is homeomorphic to  $X^1$ .

Suppose  $\mathcal{A}_0$  consists of a single point, and that  $\mathcal{A}_1$  is empty. Then  $X^2$  is homeomorphic to a bouquet of spheres (i.e., a collection of copies of  $S^2$ , all glued along one point). There are as many spheres in this bouquet as there are elements of  $\mathcal{A}_2$ . In particular, if  $\mathcal{A}_1$  is empty and  $\mathcal{A}_2$  consists of a single point, then  $X^2$  is homeomorphic to  $S^2$ .

In general, two-dimensional CW complexes can be rather interesting. Any surface can be realized as a 2-dimensional CW complex. Any polyhedron is a 2-dimensional CW complex.

### 14.2.3 $n$ -dimensional CW complexes

By now you have anticipated the inductive definition.

Suppose one has an  $(n-1)$ -dimensional CW complex  $X^{n-1}$ . Fix a set  $\mathcal{A}_n$ , and for every  $\alpha \in \mathcal{A}_n$ , choose a continuous map  $\varphi_\alpha : \partial D^n \rightarrow X^{n-1}$ . We can define  $X^n$  to be the space

$$X^n := \left( X^{n-1} \amalg \left( \coprod_{\alpha \in \mathcal{A}_n} D^n \right) \right) / \sim \tag{14.2.3.1}$$

where  $\sim$  is the equivalence relation generated by

$$\forall \alpha \in \mathcal{A}_n, \quad y \in \partial D_\alpha^n \sim \varphi_\alpha(y).$$

Any space built in this way is called an  *$n$ -dimensional CW complex*. Each  $D_\alpha^n$  is called an  *$n$ -cell* of  $X^n$ . Each  $\varphi_\alpha$  is called an *attaching map*.

### 14.2.4 CW complexes

First, any space constructed in the above way is called a CW complex.

However, CW complexes do not need to be finite-dimensional.

Suppose that for every  $n \in \mathbb{Z}_{\geq 0}$ , one has an  $n$ -dimensional CW complex  $X^n$ , and that for all  $n \geq 1$ ,  $X^n$  is obtained by attaching  $n$ -cells to  $X^{n-1}$ . Then one can define a space

$$X := \bigcup_{n \geq 0} X^n$$

topologized so that a subset  $U \subset X$  is open if and only if  $U \cap X^n$  is open for every  $n \geq 0$ . (This is called the CW topology, or colimit topology, or direct limit topology, of  $X$ .)

Note that if the set of  $n$ -cells  $\mathcal{A}_n$  is non-empty for infinitely many  $n$ , then  $X$  is not a finite-dimensional CW complex. We simply call  $X$  a *CW complex*.

For each  $\alpha \in \mathcal{A}_n$ , we call  $D_\alpha^n$  an  $n$ -dimensional cell, or  $n$ -cell, of  $X$ . Each  $\varphi_\alpha$  is called an attaching map.

## 14.3 Some basic facts and terminology

### 14.3.1 Understanding the gluing process

**Remark 14.3.1.** “The smallest equivalence relation generated by...” is rather abstract, so let’s have a concrete description of the relation  $\sim$  we quotient by in (14.2.3.1).

Consider the following equivalence relation on  $X^{n-1} \amalg (\amalg_{\alpha \in \mathcal{A}_n} D_\alpha^n)$ : We declare  $xRx'$  if and only if

- (i)  $x = x'$ , or
- (ii)  $x \in X^{n-1}, x' \in \partial D_{\alpha'}^n$  for some<sup>1</sup>  $\alpha'$ , and  $\varphi_{\alpha'}(x') = x$ , or
- (iii)  $x' \in X^{n-1}, x \in \partial D_\alpha^n$  for some<sup>2</sup>  $\alpha$ , and  $\varphi_\alpha(x) = x'$ , or
- (iv)  $x \in \partial D_\alpha^n, x' \in \partial D_{\alpha'}^n$  and  $\varphi_\alpha(x) = \varphi_{\alpha'}(x')$ .<sup>3</sup>

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<sup>1</sup> $\alpha'$  is unique given  $x'$

<sup>2</sup> $\alpha$  is unique given  $x$

<sup>3</sup>Note  $\alpha$  could equal  $\alpha'$  here, but  $\alpha$  is unique given  $x$ , and  $\alpha'$  is unique given  $x'$ .

It is easily checked that  $R \subset X \times X$  is indeed an equivalence relation. It clearly contains  $\sim$  in light of (ii). On the other hand, if  $R'$  is an equivalence relation containing  $\sim$ , it is straightforward to check that  $R \subset R'$ . This shows  $R$  is the smallest equivalence relation containing  $\sim$ .

### 14.3.2 Skeleta

**Remark 14.3.2.** Let  $X$  be a CW complex. For every  $n \geq 1$ , the natural function  $X^{n-1} \rightarrow X^n$  is an injection. Indeed, when considering the quotient

$$X^{n-1} \coprod \left( \coprod_{\alpha \in \mathcal{A}_{n-1}} D^n \right) \rightarrow X^n$$

we can classify the pre-images of elements  $z \in X^n$ , thanks to Remark 14.3.1. The preimage of  $z$  under the above map is either:

- (i) A set that intersects the interior of one of the  $n$ -cells, in which case  $[z] = \{z\}$  contains exactly one element, or
- (ii) A set that intersects  $X^{n-1}$ , in which case  $[z]$  contains exactly one element  $z \in X^{n-1}$ , and contains all points  $y \in \coprod_{\alpha} D^n$  for which  $\phi_{\alpha}(y) = z$ .

It follows that the composition

$$X^{n-1} \rightarrow X^{n-1} \coprod \left( \coprod_{\alpha \in \mathcal{A}_{n-1}} D^n \right) \rightarrow X^n$$

is an injection.

For this reason, we will often consider  $X^{n-1}$  as a *subset* of  $X^n$ , identifying  $X^{n-1}$  with its image under the above injection. This abuse will hopefully make our mathematics easier, and not harder. It is also a very common practice.

As a result, if  $X$  is a CW-complex, the set  $X^n$  will be treated as a subset of  $X$ .

**Definition 14.3.3.** Let  $X$  be a CW complex. We call  $X^n \subset X$  the  *$n$ -skeleton* of  $X$ .<sup>4</sup>

**Example 14.3.4.** Suppose  $X$  is a CW complex and equals  $X^n$  for some finite  $n$ . If  $n$  is the smallest such integer, then  $X = X^n$ , and  $X$  is an  $n$ -dimensional CW complex.

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<sup>4</sup>By Remark 14.3.2, we treat  $X^n$  as a subset of  $X$ .

## 14.4 Exercises

**Exercise 14.4.1.** Work out Example 14.2.8 to make sure you understand the claims there.

**Exercise 14.4.2.** Fix  $n \geq 1$ . Let  $\mathcal{A}_0$  have exactly one element,  $\mathcal{A}_n$  have exactly one element, and  $\mathcal{A}_i = \emptyset$  for all other  $i$ . It turns out there is a unique CW complex with these cells. Convince yourself it must be the  $n$ -dimensional sphere.

**Exercise 14.4.3.** Let  $\mathcal{A}_0$  have exactly one element,  $\mathcal{A}_2$  have exactly three elements, and let  $\mathcal{A}_1 = \emptyset$ . Draw the (unique) two-dimensional CW complex you can create from these sets of cells.

**Exercise 14.4.4.** Convince yourself that any polyhedron is a two-dimensional CW complex.

**Exercise 14.4.5.** Suppose that  $\mathcal{A}_0$  consists of three elements and that  $\mathcal{A}_1$  consists of three elements.

- (a) Prove there are 729 possible choices for the set  $\{\phi_\alpha\}_{\alpha \in \mathcal{A}_1}$ . That is, there are 729 ways to construct a CW complex out of three 0-cells and three 1-cells.
- (b) Draw every homeomorphism type that can be made from three 0-cells and three 1-cells. You should be able to draw exactly 13.
- (c) Convince yourself that, up to homotopy equivalence, there are exactly 5 1-dimensional CW complexes with three 0-cells and three 1-cells.