

## Lecture 34: Hadamaard's Theorem and Covering spaces

In the next few lectures, we'll prove the following statement:

**Theorem 34.1.** Let  $(M, g)$  be a complete Riemannian manifold. Assume that for every  $p \in M$  and for every  $x, y \in T_p M$ , we have

$$K(x, y) \leq 0.$$

Then for any  $p \in M$ , the exponential map

$$\exp_p : T_p M \rightarrow M$$

is a local diffeomorphism, and a covering map.

### 1. Complete Riemannian manifolds

Recall that a connected Riemannian manifold  $(M, g)$  is *complete* if the geodesic equation has a solution for all time  $t$ . This precludes examples such as  $\mathbb{R}^2 - \{0\}$  with the standard Euclidean metric.

### 2. Covering spaces

This, not everybody is familiar with, so let me give a quick introduction to covering spaces.

**Definition 34.2.** Let  $p : \tilde{M} \rightarrow M$  be a continuous map between topological spaces.  $p$  is said to be a *covering map* if

- (1)  $p$  is a local homeomorphism, and
- (2)  $p$  is *evenly covered*—that is, for every  $x \in M$ , there exists a neighborhood  $U \subset M$  so that  $p^{-1}(U)$  is a disjoint union of spaces  $\tilde{U}_\alpha$  for which  $p|_{\tilde{U}_\alpha}$  is a homeomorphism onto  $U$ .

**Example 34.3.** Here are some standard examples:

- (1) The identity map  $M \rightarrow M$  is a covering map.
- (2) The obvious map  $M \coprod \dots \coprod M \rightarrow M$  is a covering map.
- (3) The exponential map  $t \mapsto \exp(it)$  from  $\mathbb{R}$  to  $S^1$  is a covering map. First, it is clearly a local homeomorphism—a small enough open interval in  $\mathbb{R}$  is mapped homeomorphically onto an open interval in  $S^1$ .

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As for the evenly covered property—if you choose a proper open interval inside  $S^1$ , then its preimage under the exponential map is a disjoint union of open intervals in  $\mathbb{R}$ , all homeomorphic to the open interval in  $S^1$  via the exponential map.

**Non-Example 34.4.** The inclusion  $\mathbb{R}^2 - \{0\} \hookrightarrow \mathbb{R}^2$  is not a covering map—it is a local homeomorphism, but it is not even surjective, so does not satisfy the evenly covered property. Or, the map  $\mathbb{R}^2 \coprod (\mathbb{R}^2 - \{0\}) \rightarrow \mathbb{R}^2$  is not a covering map, though it is a local homeomorphism. The map is not evenly covered at the origin of  $\mathbb{R}^2$ .

### 2.1. Some facts about covering spaces.

**Proposition 34.5.** Let  $p : \tilde{M} \rightarrow M$  be a covering map. Then for every continuous path  $\gamma : [0, 1] \rightarrow M$  and every point  $\tilde{q}$  for which  $p(\tilde{q}) = \gamma(0)$ , there exists a unique path  $\tilde{\gamma} : [0, 1] \rightarrow \tilde{M}$  for which  $p\tilde{\gamma} = \gamma$ .

This is the path-lifting, or unique path-lifting, property. Moreover,

**Proposition 34.6.** If  $M$  is a manifold, then a local diffeomorphism  $p : \tilde{M} \rightarrow M$  is evenly covered if and only if it satisfies the unique path-lifting property.

**Example 34.7.** A vector bundle  $p : E \rightarrow M$  is *not* a covering space. For instance,  $E$  and  $M$  are manifolds of different dimension, so there is no local homeomorphism from one to the other. But vector bundles do have a (non-unique) path-lifting: Any path in  $M$ , on you can lift to a path in  $E$ . (Cover the path in  $M$  by trivializing neighborhoods—you can assume there are finitely many by compactness of  $[0, 1]$ .)

**Theorem 34.8.** Given any reasonable space  $M$ , there exists a space  $\tilde{M}$  and a continuous map  $p : \tilde{M} \rightarrow M$  such that

- (1)  $\tilde{M}$  is simply-connected—that is, so  $\pi_1(M, x_0) = 0$  for all  $x_0 \in M$ , and
- (2)  $p$  is a covering map.

Moreover,  $p : \tilde{M} \rightarrow M$  is unique up to homeomorphism respecting the covering map: If  $p' : \tilde{M}' \rightarrow M$  satisfies the properties above, there is a homeomorphism  $\tilde{f}$  making the following diagram commute:

$$\begin{array}{ccc}
 \tilde{M} & \xrightarrow{\tilde{f}} & \tilde{M}' \\
 & \searrow p & \swarrow p' \\
 & M &
 \end{array}$$

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**Chit-chat 34.9.** This  $\tilde{M}$  is called the *universal cover* of  $M$ , and you should think of it as a space that replaces  $M$  by one with no  $\pi_1$ . The fundamental group is sometimes the most complicated part of a space, so removing it simplifies matters greatly.

### 3. Higher homotopy groups

Just as based maps of loops into  $M$  form a group, based maps of spheres into  $M$  form a group as well. Define

$$\pi_n(M, x_0) = \{\text{Maps}(S^n, *) \rightarrow (M, x_0)\} / \text{homotopies fixing } *.$$

What's the group composition? Well, a map from  $S^n$  sending  $*$  to  $x_0$  is the same thing as a map from the  $n$ -cube  $I^n$  to  $M$  sending  $\partial I^n$  to  $x_0$ . And you can compose two such maps from  $I^n$  into  $M$  by gluing the maps along a face on the boundary of  $I^n$ .

**Chit-chat 34.10.** The homotopy groups  $\pi_n(M, x_0)$  are in some sense the mother of all invariants in homotopy theory. For example, we have:

**Theorem 34.11** (Whitehead's Theorem). If  $M$  and  $N$  are reasonable spaces (CW complexes, for instance) and if  $f : M \rightarrow N$  is a continuous map inducing isomorphisms on  $\pi_n$  for all  $n$ , then  $f$  admits a homotopy inverse. That is,  $M$  and  $N$  are homotopy equivalent spaces.

**Chit-chat 34.12.** But the  $\pi_n$  are notoriously difficult to compute—for instance, we don't know them for spheres.

**Proposition 34.13.** Let  $p : \tilde{M} \rightarrow M$  be a covering map. Then for any  $p$  induces an isomorphism on  $\pi_n$  for all  $n \geq 2$ .

**Chit-chat 34.14.** This is proved easily using, for instance, the long exact sequence for a fibration, or simply by using the unique path-lifting property.

### 4. Discussion of main theorem

So what are some consequences of the main theorem we stated in this lecture (at the very beginning)?

**Corollary 34.15** (Hadamaard's Theorem). Let  $(M, g)$  be as in the hypothesis above. If  $M$  is simply-connected, then  $M$  is diffeomorphic to  $\mathbb{R}^{\dim M}$ .

PROOF. If  $M$  is simply-connected, the identity map exhibits  $M$  as its own universal cover. On the other hand,  $\exp_p : T_p M \rightarrow M$  exhibits  $T_p M$  as the universal cover. By uniqueness of universal cover, this means we have

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a continuous inverse to  $\exp_p$ . Since  $\exp_p$  is also a local diffeomorphism, this inverse map is also smooth—hence  $\exp_p$  is a diffeomorphism.  $\square$

**Corollary 34.16.** Let  $(M, g)$  be as in the hypothesis. Then all the higher homotopy groups of  $M$  vanish.

**Chit-chat 34.17.** This puts a huge restriction on the spaces for which we can put metrics with non-positive curvature. In fact, the easiest way to cook up manifolds with constant curvature, for instance, is to mod out one good example by properly discontinuous group actions.

## 5. Toward a proof

So let's get started on the proof. We need some techniques.

Fix  $p \in M$  and consider a smooth map

$$w : (\epsilon, \epsilon) \rightarrow T_p M.$$

That is, a path of tangent vectors  $w(s)$ . For each  $w(s)$ , let's consider the geodesic from  $p$  with tangent vector  $w(s)$ . This gives us a map

$$f : (\epsilon, \epsilon) \times (a, b) \rightarrow M, \quad \gamma_{w(s)}(t)$$

where  $\gamma_{w(s)}$  is the geodesic in the direction  $w(s)$ . Since

$$\gamma_w(t) = \gamma_{tw}(1) = \exp_p(tw)$$

one can write  $f$  as a composite of two maps:

$$(-\epsilon, \epsilon) \times (a, b) \xrightarrow{tw(s)} T_p M \xrightarrow{\exp_p} M.$$

**Chit-chat 34.18.** If we measure the derivative of  $f$  in the  $t$  direction, we just get the velocity vectors of the geodesic. But if we measure it in the  $s$  direction, we see how points in  $M$  are changed as we change the initial tangent vector of a geodesic. At  $t = 0$  you can see from the equation that the  $s$  derivative is zero, but as time goes on, we can measure how quickly (or slowly) the geodesics  $\gamma_{w(s)}$  deviate from one another. Moreover, this rate of change satisfies a differential equation governed chiefly by curvature:

**Proposition 34.19.** Let

$$J(t) = \left. \frac{\partial}{\partial s} \right|_{(0,t)} f.$$

Then  $J$  satisfies the differential equation

$$\nabla_{\partial_t} \nabla_{\partial_t} J = \Omega(\dot{\gamma}(t), J(t)) \dot{\gamma}(t).$$

We'll get to a proof of this next lecture.